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Dated March 8, 2004 Signature:

*John S. Curran*  
(John S. Curran)

**OFFICIAL**

Docket No.: OIQ-001RCE  
(PATENT)

#15/E

LDT  
3-11-04

Entered

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Eric Hellman *et al.*

Application No.: 09/437378

Filed: November 10, 1999

For: RETRIEVAL OF DIGITAL OBJECTS BY  
REDIRECTION OF CONTROLLED  
VOCABULARY SEARCHES

Art Unit: 2155

Examiner: Young, N. Won

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**AMENDMENT**

MS RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

In response to the Office Action dated September 8, 2003 (Paper No. 9), finally rejecting claims 1-6, 9-13 and 15-34, the Advisory Action of February 12, 2004 (Paper No. 12), and in conjunction with the Request for Continued Examination filed herewith, please amend the above-identified U.S. patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper. Applicants have amended claims 1, 6, 9, 17, 19, 22 and 27. Claims 35-38 have been added. Claims 25-26 have been cancelled. Thus claims 1-6, 9-13, 15-24 and 27-38 are presently pending. No new matter has been added.

**Remarks/Arguments** begin on page 8 of this paper.

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DATE: March 8, 2004

PTO IDENTIFIER: Application Number 09/437378-Conf. #7897  
Patent Number

Inventor: Eric HELLMAN et al.

MESSAGE TO: US Patent and Trademark Office

FAX NUMBER: (708) 872-9306

FROM: LAHIVE &amp; COCKFIELD, LLP

John S. Curran

PHONE: (617) 227-7400

Attorney Dkt. #: OIQ-001RCE

PAGES (Including Cover Sheet): 21

CONTENTS: Three Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page);  
Request for Continued Examination Transmittal (1 page);  
Amendment Transmittal (1 page);  
Amendment (15 pages);  
Fee Transmittal (1 page);  
Certificate of Transmission under 37 CFR 1.8 (1 page); and  
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PTO/SB/97 (12-97)

Approved for use through 9/30/00. OMB 0651-0031

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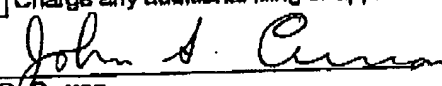
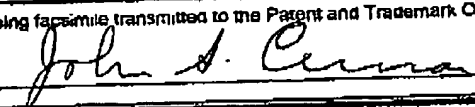
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Date

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Three Month Request for Extension of Time Under 37 CFR 1.136(a) (1 page);  
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Amendment (15 pages);  
Fee Transmittal (1 page);  
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| <b>AMENDMENT TRANSMITTAL LETTER</b>   |   |  |                                   | Docket No.<br>OIQ-001RCE    |        |
|---|---|--|-----------------------------------|-----------------------------|--------|
| Application No.<br>09/437378-Conf. #7897  |   | Filing Date<br>November 10, 1999   |                                   | Examiner<br>Y. N. Won       |        |
|   |   |  |                                   | Art Unit<br>2155            |        |
| Applicant(s): Eric HELLMAN <i>et al.</i>  |   |  |                                   |                             |        |
| Invention: RETRIEVAL OF DIGITAL OBJECTS BY REDIRECTION OF CONTROLLED VOCABULARY SEARCHES  |   |  |                                   |                             |        |
| <b>TO THE COMMISSIONER FOR PATENTS</b>  |   |  |                                   |                             |        |
| Transmitted herewith is an amendment in the above-identified application.   |   |  |                                   |                             |        |
| The fee has been calculated and is transmitted as shown below.  |   |  |                                   |                             |        |
| <b>CLAIMS AS AMENDED</b>  |   |  |                                   |                             |        |
|   | Claims<br>Remaining<br>After<br>Amendment | Highest<br>Number<br>Previously<br>Paid  | Number<br>Extra Claims<br>Present | Rate                        |        |
| Total Claims  | 33  | - 34 =   |                                   | x                           | 0.00   |
| Independent<br>Claims   | 7   | - 8 =  |                                   | x                           | 0.00   |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/>  |   |  |                                   |                             |        |
| Other fee (please specify): Extension for response within third month; Request for continued examination (RCE)  |   |  |                                   |                             | 860.00 |
| <b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>   |   |  |                                   |                             | 860.00 |
| <input type="checkbox"/> Large Entity <input checked="" type="checkbox"/> Small Entity  |   |  |                                   |                             |        |
| <input type="checkbox"/> No additional fee is required for this amendment.  |   |  |                                   |                             |        |
| <input checked="" type="checkbox"/> Please charge Deposit Account No. <u>12-0080</u> in the amount of \$ <u>860.00</u> .<br>A duplicate copy of this sheet is enclosed.   |   |  |                                   |                             |        |
| <input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.   |   |  |                                   |                             |        |
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| <input checked="" type="checkbox"/> Credit any overpayment.   |   |  |                                   |                             |        |
| <input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.  |   |  |                                   |                             |        |
| <br>John S. Curran<br>Attorney Reg. No.: 50,445<br>LAHIVE & COCKFIELD, LLP<br>28 State Street<br>Boston, Massachusetts 02109<br>(617) 227-7400 |   |  |                                   | Dated: <u>March 8, 2004</u> |        |
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| Dated: March 8, 2004  |   | Signature:  (John S. Curran) |                                   |                             |        |